

07-28-00

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07/27/00
JC849 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William Jon Schmidt

Atty. Docket: IBM / 09B
Client Ref.: RO997-127

Title: SKIP LIST DATA STORAGE DURING COMPILATION

JC857 U.S. PTO
09/626982
07/27/00

CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10

'Express Mail' mailing label number: EL576792714US
Date of Deposit: July 27, 2000

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Maria C. Rodriguez
By: Maria C. Rodriguez (person mailing paper)

UTILITY PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☐ Original (non-provisional) application.
- ☒ **Divisional of prior application Serial No. 08/936,864, filed on March 10, 2000 which is a continuation of the application filed on September 24, 1997.**
- ☐ Continuation of prior application Serial No. __, filed on __.
- ☐ Continuation-in-part of prior application Serial No. __, filed on __.

For Divisional and Continuation applications ONLY:

- ☒ **Copy of Executed Declaration, Power of Attorney and Petition Form from parent application is enclosed (as noted below in Enclosures).**
- ☒ **Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.**

For all Divisional, Continuation and Continuation-in-part applications:

- ☐ A Small Entity Statement was filed in the prior application, small entity status is still proper and desired.
- ☒ **This application is assigned to INTERNATIONAL BUSINESS MACHINES CORPORATION by virtue of an earlier assignment filed in the prior application at Reel 8733, Frame 337-338.**

PRELIMINARY AMENDMENT/CALCULATION OF FEES

- ☒ Please amend the application by inserting at page 1 before **FIELD OF INVENTION:**
RELATED APPLICATIONS

This application is a divisional application of Serial No. 08/936,864 filed March 10, 2000, which is a continuation of the application filed September 24, 1997, hereby incorporated by reference herein in its entirety.

- ☒ Please cancel claims 1-11 and 20-23 without prejudice, and prior to calculating the fees. 8 total claim(s), of which 1 is(are) independent, is(are) pending after the amendment.
- ☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. total claim(s), of which is(are) independent, is(are) pending after the amendment.
- ☒ **The Fees are Calculated as Follows:**

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	8	20	0	\$18	\$0.00
Independent Claims	1	3	0	\$78	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$690.00
TOTAL OF ABOVE CALCULATIONS					\$690.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					
TOTAL					\$690.00

ENCLOSURES

- ☒ **Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.**
- ☒ **Return Postcard.**

APPLICATION PAPERS

- ☒ **Utility Patent Application, with: cover sheet, 31 page(s) specification (including 23 total claim(s), of which 5 is(are) independent), and 1 page(s) abstract.**
- ☒ **Drawings: 7 sheet(s) of formal drawings (9 total figure(s)).**
- ☐ Microfiche Computer Program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☐ An Declaration, Power of Attorney and Petition Form.
- ☒ **Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.**
- ☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- ☐ Assignment to __, Recordation Cover Sheet (Form PTO-1595)
- ☐ Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 1.27.
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☒ Information Disclosure Statement, 3 sheet(s) Form PTO-1449, 0 U.S. Patent Reference(s),
0 Foreign Patent Reference(s) and 0 Other Reference(s)
- ☐ Other: __

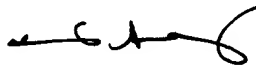
CHECKS

- ☒ A Check of \$690.00 for the filing fee.
- ☐ A Check of __ for the assignment recording fee.

DEPOSIT ACCOUNT AUTHORIZATION

- ☐ Please charge Deposit Account No. 23-3000 in the amount of __.
- ☒ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.

Respectfully Submitted,



Thomas W. Humphrey
Reg. No. 34,353

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TWH/lgk